



UNITED STATES PATENT AND TRADEMARK OFFICE

EX-3
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/950,060	09/12/2001	Katsuya Shimomura	2001_1279A



000513
WENDEROTH, LIND & PONACK, L.L.P.
2033 K STREET N. W.
SUITE 800
WASHINGTON, DC 20006-1021

CONFIRMATION NO. 1528

FORMALITIES LETTER



OC000000006918865

Date Mailed: 10/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

12/17/2001 BARBARA1 00000121 1173W1

01 F0:130
02 F0:100
03 F0:100

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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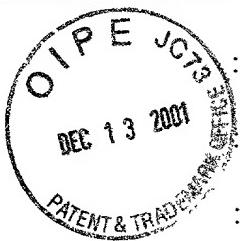
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Katsuya SHIMOMURA et al.

Serial No. 09/950,060

Filed September 12, 2001



Confirmation No. 1528

Attn: BOX MISSING PARTS

Docket No. 2001_1279A

LOUDSPEAKER

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents,
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated October 16, 2001, submitted herewith are the required Declaration and Power of Attorney and the English translation with Verification of Translation.

Also enclosed are the PTO surcharge of \$260.00, required by 37 CFR 1.16(e), and a copy of the Notice.

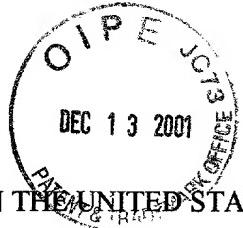
Favorable action on the merits is now requested.

Respectfully submitted,

Katsuya SHIMOMURA et al.

By: David M. Ovedovitz
David M. Ovedovitz
Registration No. 45,336
Attorney for Applicants

DMO/jmj
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
December 13, 2001



Declaratory
Action

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Katsuya SHIMOMURA et al. : Atty Docket 2001_1279A

Serial No. 09/950,060 : Confirmation No. 1528

Filed September 12, 2001 :

LOUDSPEAKER

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Attached hereto is a check in the amount of \$548.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration \$130.00

Additional Claims Fee Transmittal Letter
Excess of Twenty \$288.00

English Translation \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Katsuya SHIMOMURA et al.

By David M. Ovedovitz
David M. Ovedovitz
Registration No. 45,336
Attorney for Applicants

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WENDEROTH, LIND & PONACK, L.L.P.
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December 13, 2001

[Check No. 47446]
2001_1279A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Katsuya SHIMOMURA et al. : Docket No. 2001_1279A

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LOUDSPEAKER

ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY	LARGE ENTITY
Total Claims exceeding 20 (not already paid for): 16 x	(\$ 9 = \$)	or (\$18 = \$288.00)
Indep. Claims exceeding 3 (not already paid for): x	(\$42 = \$)	or (\$84 = \$)
[] Multiple Dep. Claim(s) (if there previously were none): +	(\$140 = \$)	or (\$280 = \$)
Total Additional Fee =	<u>\$288.00</u>	<u>\$288.00</u>

[] Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which

[] is enclosed or

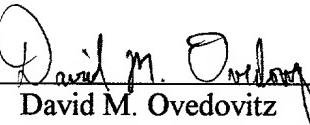
[] has been previously submitted.

[X] A check in the amount of \$288.00 is enclosed.

Please charge Deposit Account No. 23-0975 the amount of \$ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Katsuya SHIMOMURA et al.

By 

David M. Ovedovitz
Registration No. 45,336
Attorney for Applicants

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